



UNITED STATES DEPARTMENT OF COMMERCE
Patent and Trademark Office

Address: COMMISSIONER OF PATENTS AND TRADEMARKS
Washington, D.C. 20231

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NO./TITLE
09/430,124	10/29/99	NAKAMURA	Y SON-1680

0262/1130

RONALD P. KANANEN ESQ.
RADER FISHMAN & GRAUER
THE LION BUILDING SUITE 501
1222 20TH STREET N.W.
WASHINGTON DC 20036

NOT ASSIGNED

2876

DATE MAILED:

11/30/99

NOTICE TO FILE MISSING PARTS OF APPLICATION
Filing Date Granted

An Application Number and Filing Date have been assigned to this application. The items indicated below, however, are missing. Applicant is given TWO MONTHS FROM THE DATE OF THIS NOTICE within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a). If any of items 1 or 3 through 5 are indicated as missing, the SURCHARGE set forth in 37 CFR 1.16(e) of ☐ \$65.00 for a small entity in compliance with 37 CFR 1.27, or ☒ \$130.00 for a non-small entity, must also be timely submitted in reply to this NOTICE to avoid abandonment.

If all required items on this form are filed within the period set above, the total amount owed by applicant as a ☐ small entity (statement filed) ☒ non-small entity is \$ 130.

- ☐ 1. The statutory basic filing fee is:

- ☐ missing.
☐ insufficient.

Applicant must submit \$_____ to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).

- ☐ 2. The following additional claims fees are due:

\$_____ for _____ total claims over 20.

\$_____ for _____ independent claims over 3.

\$_____ for multiple dependent claim surcharge.

Applicant must either submit the additional claim fees or cancel additional claims for which fees are due.

- ☒ 3. The oath or declaration:

- ☒ is missing or unsigned.
☐ does not cover the newly submitted items.

An oath or declaration in compliance with 37 CFR 1.63, including residence information and identifying the application by the above Application Number and Filing Date is required.

- ☐ 4. The signature(s) to the oath or declaration is/are by a person other than inventor or person qualified under 37 CFR 1.42, 1.43 or 1.47.

A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.

- ☐ 5. The signature of the following joint inventor(s) is missing from the oath or declaration:

An oath or declaration in compliance with 37 CFR 1.63 listing the names of all inventors and signed by the omitted inventor(s), identifying this application by the above Application Number and Filing Date, is required.

- ☐ 6. A \$50.00 processing fee is required since your check was returned without payment (37 CFR 1.21(m)).

- ☐ 7. Your filing receipt was mailed in error because your check was returned without payment.

- ☐ 8. The application was filed in a language other than English.

Applicant must file a verified English translation of the application, the \$130.00 set forth in 37 CFR 1.17(k), unless previously submitted, and a statement that the translation is accurate (37 CFR 1.52(d)).

- ☐ 9. OTHER:

Direct the reply and any questions about this notice to "Attention: Box Missing Parts."

A copy of this notice MUST be returned with the reply.

Customer Service Center
Initial Patent Examination Division (703) 308-1202

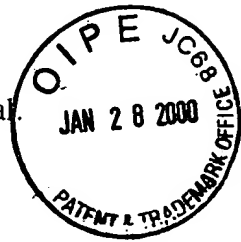
IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the Patent Application of

YOSHIYUKI NAKAMURA et al.

Serial No. 09/430,124

Filed: October 29, 1999

For: SYSTEM FOR TRANSFERRING DATA
BETWEEN APPLICATION SYSTEMS

ATTN: APPLICATION BRANCH

**RESPONSE TO NOTICE AND
SUBMISSION OF EXECUTED DECLARATION**Assistant Commissioner for Patents
Washington, D.C. 20231

Sir:

In response to the Notice to File Missing Parts of Application mailed November 30, 1999 (copy of which is returned herewith), attached hereto is a Declaration and Power of Attorney, duly executed by the inventor, referring to the serial number and filing date of the patent application identified above. Please charge Deposit Account No. 18-0013 in the amount of \$130.00 in payment of the surcharge for other than a small entity.

The Commissioner is hereby authorized to charge any additional fees associated with this communication or credit any overpayment to Deposit Account No. 18-0013. A Duplicate copy of this letter is enclosed for that purpose.

Respectfully submitted,

ELM Tanner ^{PTO Reg#}
41,518

Dated: January 28, 2000

for Ronald P. Kananen
Reg. No. 24,104Rader, Fishman & Grauer PLLC
Suite 501
1233 20TH Street, N.W.
Washington, D.C. 20036
Telephone: (202) 955-3750

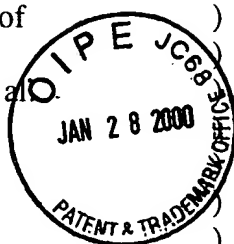
IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the Patent Application of

Yoshiyuki NAKAMURA et al.

Serial No.: 09/430,124

Filed: October 29, 1999

For: SYSTEM FOR TRANSFERRING
DATA BETWEEN APPLICATION
SYSTEMS

Art Unit: 2876

Examiner: To Be Assigned

LETTER RE: SUBMISSION OF FORMAL DRAWINGSAssistant Commissioner for Patents
Washington, D.C. 20231

Sir:

We have enclosed formal drawings in the above-identified application to replace the informal drawings submitted with the application on October 29, 1999.

Respectfully submitted,

PTO Reg#
41,518

Dated: January 28, 2000

for Ronald P. Kananen
Reg. No. 24,104**RADER, FISHMAN & GRAUER P.L.L.C.**1233 20TH Street, NW

Suite 501

Washington, DC 20036

Telephone: (202) 955-3750

Facsimile: (202) 955-3751